Submit 1 Original OMB Approval No. For Internal Use Only and 9 Copies Expires: Sec File No. 91 -Estimated average burden hours per response: 2.00 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: New York Stock Exchange, LLC (trading pursuant to unlisted trading privileges) Mail 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.) Open-end Management Investment Company 3. Class of New Derivative Securities Product: **Investment Company Units** 4. Name of Underlying Instrument: MSCI China All Shares Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based** 6. Ticker Symbol(s) of New Derivative Securities Product: 18010311 KALL 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYSE, NASDAQ, Hong Kong, Shanghai, Shenzen 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+2/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Title: Associate General Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Act Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form: Section Rule 19b-4 August 3, 2018

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